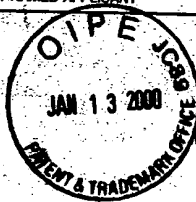




UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/430,590	10/29/99	POULTER	R 674521-2001

0262/1129



NOT ASSIGNED

1636

THOMAS J. KOWALSKI ESQ.  
FROMMER LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
NEW YORK NY 10151

DATE MAILED: 11/29/99

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity, in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 2380.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing,  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 684.00 for 38 total claims over 20.  
\$ 546.00 for 7 independent claims over 3.  
\$ 260.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

01/14/2000 NFRASASD 00000044 09430590

01 FC:105  
02 FC:102  
03 FC:103  
04 FC:104

130.00 OP  
546.00 OP  
684.00 OP  
260.00 OP

FORM PTO-1533 (REV. 9/98)

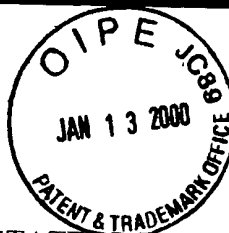
05 FC:101

760.00 OP

U.S. GPO 1999 450-5875

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT  
674521-2001.1

SECTOR ~~8~~

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : RUSSELL TONY MASELL POULTER et al. #3  
Serial No. : 09/430,590  
Filed : October 29, 1999  
For : UNUSUAL RETROTRANSPOSON FROM THE YEAST  
CANDIDA ALBICANS

745 Fifth Avenue  
New York, New York 10151

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents, Washington,  
DC 20231, on January 3, 2000

THOMAS J. KOWALSKI, Reg. No. 32,147

Name of Applicant, Assignee or Registered Representative

Signature

January 3, 2000

Date of Signature

COMMUNICATION

Hon. Commissioner of Patent and Trademarks  
Washington, D.C. 20231

Sir:

Attached is the original executed inventors' declaration and power of attorney  
along with the Notice to File Missing Parts mailed on November 29, 1999. Also  
enclosed is Check No. 08475 for \$2380.00 to cover the required fees.

Please charge any additional fees required to Deposit Account No. 50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:

THOMAS J. KOWALSKI

Reg. No. 32,147

(212) 588-0800

SJL2116